

# Policy Management has **never been easier.**

## PolicyHub knows Financial Services

Whether you're concerned about FCPA, establishing a Culture of Ethics, OCIE examinations priorities, whistleblowing, cybersecurity and data privacy, your brand reputation or other matters, PolicyHub was designed with your compliance needs in mind.

PolicyHub is a best-in-class solution relied upon by financial services to simplify the complexities of managing and communicating policies and procedures. It's so easy and intuitive to work with, our customers rave about how it streamlines Policy Management.

### We know your challenges:

- The need to meet each granular requisite of regulatory compliance
- To prove the effectiveness of your policies and procedures
- To keep pace with an ever-changing regulatory environment
- To avoid the high costs of non-compliance
- To satisfy a high level of external examinations/audits
- To prevent reputational damage affecting your share price

### We know your compliance pressures are endless, including:

- To mount an effective FSGO/DOJ compliance program
- To have effective anti-corruption measures in place under the FCPA
- To meet SEC Rule 206(4)-7/ Rule 38a-1
- To satisfy NYSDFS (23 NYCRR Part 500)
- To be compliant with the EU's GDPR mandates

**“It makes compliance policy and procedure communication easy.”**

**MARKEL INTERNATIONAL**

## Meet your compliance demands with ease

PolicyHub lets you meet these demands and more by giving you the turnkey, cost-effective, measurable Policy Management and compliance solution you need. One that's also amazingly easy to adopt and use.

PolicyHub makes it simple to demonstrate corporate responsibility and a defensible compliance program, reduce the risk of breaches and heavy fines, and make your policy compliance functions more efficient.

# PolicyHub streamlines Policy Management for Financial Services

- By empowering you to centrally create, approve, and communicate policies
- By enabling intelligent distribution, knowledge assessments, and reporting
- By saving time and improving efficiency
- By giving your organization the defensible program it needs to demonstrate corporate responsibility - and reduce its compliance risks

## How PolicyHub pays off

- + Cut your costs of non-compliance by **more than 60%**
- + Reduce the number of non-compliance incidents by **up to 20%**
- + **Save up to 25%** of the staff time spent managing, delivering and reporting on policies
- + Decrease managerial time chasing down non-compliant employees by **up to 75%**

Contact us today to speak with one of our Financial Services specialists.

**MITRATECH**

Get in **Touch**

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